

St. Joseph's College of Commerce

Bangalore

Lesson Plan

Class: B.Com IV sem

Subject code: EL 15 FN 505

Subject Name: Financial Institutions and Markets

lecture Hours: 60

Objective:

- (1) The objective of this paper is to familiarize students with different aspects and components of financial institutions and financial markets in India.
- (2) This will enable them to take the rational decision in the financial environment

Sl. No	UNIT & OBJECTIVES	No. of Lecture Hours	Methodology/ pedagogy	Evaluation
Module 1	Indian Financial system			
1	Introduction to finance, its classification, Evolution	1	PPT's Lecture	Discussion Class room participation MCQ using Moodle for Class Assignment
2	Structure & Subsystem of Indian Financial system	1	PPT's Lecture	Discussion Class room participation
3	Introduction to financial markets, financial instruments, Institutions & Services	2	PPT's Lecture	Discussion Class room participation
Module 2	Financial Institutions			
4	Overview of financial Institutions- Nature & Role	1	PPT's Lecture	Discussion Class room participation MCQ using Moodle for Class Assignment
5	Commercial banks	1	PPT's Lecture	Discussion Class room participation
6	Regional rural banks, Development banks, Cooperative banks	1	PPT's Lecture	Discussion Class room participation
7	EXIM, Investment banks & Merchant Banks	1	PPT's Lecture	Discussion Class room participation
8	NBFCs, Mutual funds	1	PPT's Lecture	Discussion Class room participation
9	Role of Regulatory bodies: SEBI	1	PPT's Lecture	Discussion Class room participation

10	Objectives & functions, RBI	1	PPT's Lecture	Discussion Class room participation
11	DCA & Stock Exchanges	1	PPT's Lecture	Discussion Class room participation
Module 3	Financial Markets			
12	Role and importance & Classification of financial Markets	1	PPT's Lecture Case study	Discussion Class room participation MCQ using Moodle for Class Assignment
13	Linkage between Economy and Financial Markets	1	PPT's Lecture	Discussion Class room participation
14	Factors affecting financial markets	2	PPT's Lecture	Discussion Class room participation
15	Integration of Indian market with Global Markets	2	PPT's Lecture	Discussion Class room participation
Module 4	Primary market			
20	Role and Importance of Primary market	2	PPT's Lecture	Discussion Class room participation MCQ using Moodle for Class Assignment
21	Public issue through Prospectus	2	PPT's Lecture	Discussion Class room participation
22	Green shoe Option, Offer for sale, private placement,	1	PPT's Lecture Case Study	Discussion Class room participation
23	Rights issue, ESOP	1	PPT's Lecture	Discussion Class room participation
24	On line IPO, Book building process	2	PPT's Lecture Case Study	Discussion Class room participation
25	Preferential issue of shares, Venture Capital	2	PPT's Lecture Case study	Discussion Class room participation
26	Private Equity-performance of primary market in India	1	PPT's Lecture	Discussion Class room participation
27	Corporate Listings-Listing requirements & Process	2	PPT's Lecture	Discussion Class room participation
28	Delisting of corporate stocks	1	PPT's Lecture	Discussion Class room participation
Module 5	Secondary Market			
29	Regional and Modern stock Exchanges	3	PPT's Lecture	Discussion Class room participation MCQ using Moodle for Class Assignment
30	International stock Exchanges	2	PPT's Lecture	Discussion Class room participation

31	Indian stock Indices and their construction	2	PPT's Lecture	Discussion Class room participation
32	Free float Vs. Full Float(theory)	1	PPT's Lecture	Discussion Class room participation
33	Stock market Instruments: Equity shares, Debentures and Bonds	2	PPT's Lecture	Discussion Class room participation
34	Derivatives,Equity, Commodities, Currency & Indices	2	PPT's Lecture	Discussion Class room participation
35	Trading settlement	2	PPT's Lecture	Discussion Class room participation
36	DEMAT accounts-Types of orders	2	PPT's Lecture	Discussion Class room participation
37	Margin trading, VaR Margin-Settlement Mechanism	2	PPT's Lecture	Discussion Class room participation
Module 6	Money Market			
38	Role and participants in Money Markets	2	PPT's Lecture Case Study	Discussion Class room participation MCQ using Moodle for Class Assignment
39	Segments of Money markets	1	PPT's Lecture	Discussion Class room participation
40	Call money markets	1	PPT's Lecture	Discussion Class room participation
41	Repos and Reverse Repo Concepts, T.Bills	2	PPT's Lecture	Discussion Class room participation
42	Commercial bills	1	PPT's Lecture	Discussion Class room participation
43	Certificate of Deposit	1	PPT's Lecture	Discussion Class room participation
44	Role of Securities Trading Corporation of India(STCI)	1	PPT's Lecture	Discussion Class room participation
45	DFHI in money market	1	PPT's Lecture	Discussion Class room participation

References:

- (1) L M Bhole and Jitendra Mahakud, Financial Institution And Markets, Tata McGraw Hill.
- (2) Anthony Saunders and Marcia Millon Cornett, Financial Markets and Institutions (SIE): An Introduction To The Risk Management Approach, Tata McGraw Hill.
- (3) Guruswamy S, Financial Markets & Institutions, Tata McGraw Hill